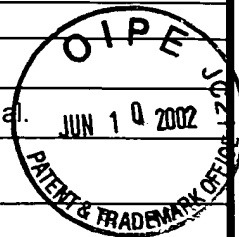


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PTO/SB/08A (10-96)
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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT [MARKED-UP COPY SHOWING REDACTIONS UNDER 37 C.F.R. SECTION 1.217(d)] <i>(use as many sheets as necessary)</i>				Application Number	09/820,377
				Filing Date	3/27/2001
				First Named Inventor	Williams, et al.
				Group Art Unit	2161
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	PSTM0041/MRK



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				

GROUP 3600

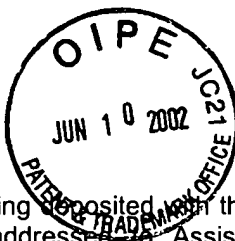
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Declaration by JOHN DIETZ, entitled "VERIFIED STATEMENT (DECLARATION) NUMBER 1 IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NOS. [09/684,010, 09/684,871, 09/684,870, 09/684,808, 09/684,869, 09/685,078, 09/680,649, 09/680,654, 09/684,865, 09/685,077, 09/684,014, 09/684,861, 09/684,152, 09/684,866], 09/820,377, AND 09/820,292", executed July 20, 2001, pages 1-8 (with Exhibits A-J attached).	
		Declaration by JOHN DIETZ, entitled "VERIFIED STATEMENT (DECLARATION) NUMBER 2 IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NOS. [09/684,010, 09/684,871, 09/684,870, 09/684,808, 09/684,869, 09/685,078, 09/680,649, 09/680,654, 09/684,865, 09/685,077, 09/684,014, 09/684,861, 09/684,152, 09/684,866], 09/820,377, AND 09/820,292", executed July 20, 2001, pages 1-6 (with Exhibits A and B attached).	
		"Smartship.com Announces Multi-Carrier Shipping and Tracking Function for E-Commerce", SmartShip.com Press Release, April 3, 2000, 2 pages, Irvine California.	
		"New Web site offers quick shipping-rate comparisons", SmartShip.com, Philadelphia Inquirer January 13, 2000, Henry J. Holcomb, 3 pages	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



I hereby certify that this correspondence is being deposited with the United Postal Service with sufficient postage as priority mail in an envelope addressed to Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231 on October 15, 2001.

Shahin B. Khorrami
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP 3600

Applicant(s): Williams et al.	
Serial No.: 09/820377	Group Art Unit: 2161
Filed: March 27, 2001	Examiner: Unknown
Title: Apparatus, Systems and Methods for Online, Multi-Parcel, Multi- Carrier, Multi-Service Enterprise Parcel Shipping Management	Date: October 15, 2001
Attorney Docket No.: PSTM0041/MRK	

Assistant Commissioner for Patents
Washington, D.C. 20231

140 S. Lake Ave., Suite 312
Pasadena, CA 91101-4710

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

37 CFR § 1.97(b)

[MARKED-UP COPY SHOWING REDACTIONS UNDER 37 C.F.R. SECTION
1.217(d)]

Commissioner:

Section 1: Preliminary Statements:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

While this Information Disclosure Statement, and the references cited in any enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of references listed in any enclosed Form PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

Application Serial No. 09/820,377
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
(MARKED-UP SHOWING REDACTIONS)

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

A list of patents and publications is set forth on the enclosed Form PTO-1449.

Section 3. Statement as to Information Material to Examination Not Found In Patents or Publications

Both of the Declarations referenced below contain information and provide exhibits, some of which are proprietary to the assignee of the above-identified application. Specifically, the first of the below-referenced Declarations and some of the Exhibits thereto, as well as Exhibit B to the second of the below-referenced Declarations contain information proprietary to the assignee of the above-identified application in the form of descriptions of business strategies and identities of companies with which the predecessor in interest of the assignee negotiated. Accordingly, to the extent to which a Declaration and/or its exhibits contain proprietary information, the Declaration and/or the exhibits thereto are submitted herewith under MPEP § 724.02 in a sealed container labeled in accordance with MPEP § 724.02. Reference is made as follows:

Reference is made to the Declaration by John Dietz, entitled "VERIFIED STATEMENT (DECLARATION) NUMBER 1 IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NOS. [09/684,010, 09/684,871, 09/684,870, 09/684,808, 09/684,869, 09/685,078, 09/680,649, 09/680,654, 09/684,865, 09/685,077, 09/684,014, 09/684,861, 09/684,152, 09/684,866,] 09/820,377, AND 09/820,292", executed July 20, 2001, enclosed herewith, including the attachments thereto (the Declaration and some of the exhibits thereto contain information proprietary to the assignee of the above-identified application in the form of descriptions of business strategies and identities of companies with which the predecessor in interest of the assignee negotiated. Accordingly, the Declaration and the exhibits thereto are submitted herewith under MPEP § 724.02 in a sealed container labeled in accordance with MPEP § 724.02); and

Reference is further made to the Declaration by John Dietz, entitled "VERIFIED STATEMENT (DECLARATION) NUMBER 2 IN SUPPORT OF INFORMATION

Application Serial No. 09/820,377
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
(MARKED-UP SHOWING REDACTIONS)

DISCLOSURE STATEMENT IN U.S. APPLICATION SERIAL NOS. [09/684,010, 09/684,871, 09/684,870, 09/684,808, 09/684,869, 09/685,078, 09/680,649, 09/680,654, 09/684,865, 09/685,077, 09/684,014, 09/684,861, 09/684,152, 09/684,866,] 09/820,377, AND 09/820,292", executed July 20, 2001, enclosed herewith, including the attachments thereto (Exhibit B to the Declaration contains information proprietary to the assignee of the above-identified application in the form of descriptions of business strategies and identities of companies with which the predecessor in interest of the assignee negotiated. Accordingly, Exhibit B is submitted herewith under MPEP § 724.02 in a sealed container labeled in accordance with MPEP § 724.02).

Respectfully submitted,



Marilyn Khorsandi

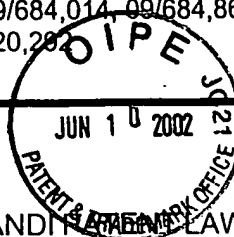
Reg. No. 45,744

Date: October 15, 2001

Telephone No.: (626) 796-2856

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IN SUPPORT OF INFORMATION DISCLOSURE STATEMENT**

IN U.S. APPLICATION SERIAL NOS. [09/684,010, 09/684,871, 09/684,870, 09/684,808, 09/684,869,
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09/684,866, 09/820,377, AND 09/820,292]



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VERIFIED STATEMENT (DECLARATION) NUMBER 2

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09/684,861, 09/684,152, 09/684,866, 09/820,377, AND 09/820,292]

I, John Dietz, hereby declare as follows:

1. I am presently an employee of iShip Inc., a wholly owned subsidiary of United Parcel Service ("UPS"). UPS is, as of the date of this Declaration, by contract, joint owner with Stamps.com Inc. of the above-named applications. Until recently, I was an employee of Stamps.com Inc., successor in interest to iShip.com, Inc., which was successor in interest to MoveIt! Software Inc. I am an inventor named on U.S. Patent Application Serial Nos. [09/684,866, 09/820,377, and 09/820,292], all of which have been assigned to Stamps.com Inc..
2. The first provisional patent application to which the present application claims priority is U.S. Provisional Patent Application Serial No. 60/158,179 which was filed on October 6, 1999, a copy of which is attached hereto as Exhibit A.
3. During 1997, I founded with others a company called at that time MoveIt! Software Inc. ("MoveIt!"). The principal offices of MoveIt! (and later iShip.com, Inc. ("iShip.com", or in the alternative, "iShip")) are in Bellevue, Washington. MoveIt! Software, Inc. was incorporated on or about May 27, 1997. I served as the Chief Operating Officer ("COO") of MoveIt and its successor, iShip.com, until the time that iShip.com merged with another company, known as Stamps.com Inc. The merged company was known as, and is known as of the date of this declaration as, Stamps.com Inc. All of the inventors of the present patent application were, at the time of the invention, employees of MoveIt!/iShip.com.
4. The founding concept of MoveIt! was to develop an affordable multi-carrier, small parcel, Internet-based shipping system for small-volume shippers such as small businesses and home offices. Carriers, such as the United States Postal Service ("USPS"), United Parcel Service ("UPS"), FedEx, and Airborne, are the companies or entities that ship small parcels from one location to another. Small parcels are letters or packages the weight of which ranges from less than one pound up to 150 pounds. The concept behind providing a multi-carrier system was to provide shippers with a single system with which shipping rate calculations, service options, and delivery schedules could be provided and compared for each parcel to be shipped for each of a plurality of carriers supported by

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carrier with which to ship the particular parcel.

5. Many of the founding members of the MoveIt! team had previously worked for the consulting division of a company then known as ConnectSoft, Inc., also of Bellevue, Washington. In November 1995, UPS acquired the consulting division of ConnectSoft, Inc. The acquired ConnectSoft division became a wholly-owned subsidiary of UPS and was renamed Velleb, Inc. ("Velleb"). Velleb maintained a website at www.velleb.com.
6. At Velleb, many of the founding members of what would one day be the MoveIt! team were responsible for the development and implementation of United Parcel Service's ("UPS") shipping and tracking software package, UPS OnLine™ Professional ("UOP"). UOP was a PC-based application for a single carrier – UPS.
7. With the completion of UOP Release 3.0 in April 1997, UPS agreed to a two-step spin-off of Velleb. In the first stage of the spin-off, I and two other senior managers formed MoveIt! Software, Inc. In the second stage, a number of Velleb engineers and other employees joined MoveIt!. UPS made a substantial seed financial investment in MoveIt!.
8. During the latter half of 1997, and continuing through 1998, 1999, 2000, and indeed until the present time, MoveIt!/iShip.com and its successors in interest worked to, among other things, develop and evolve shipping technology to effectively meet the small parcel shipping needs of the small business and home office.
9. During the latter half of 1997 and early 1998 (the "1997-98 time frame"), it was a goal of MoveIt!/iShip.com to pioneer the development of a scalable, Internet-based, consumer-oriented, multi-carrier, multi-service, shipping and tracking systems. The scalability of a particular technology refers to the ability of the technology to be expanded to consistently provide all of its available features to all of its users regardless of the number of users. That is, if a system can provide a single user a certain set of features, but cannot provide multiple users the same features all at the same time, then the system would not be considered to be scalable. Further, if a system operates consistently for a certain number of users over a small network but crashes or operates inconsistently when the number of users is increased, then the system would be considered scalable if minor software settings or hardware components can be added to accommodate the additional users. If, on the other hand, a change to the system architecture would be needed to handle the additional users, then the system would not be considered to be scalable.
10. During the 1997-98 time frame, a company known as Aristo Computers, Inc., a Portland, Oregon-based company, had developed a PC-based, standalone, single-user, multi-carrier system. The Aristo product, known as the "Aristo Parcel Shipping System", was licensed to Value-Added Resellers ("VARs") such as companies such as Pitney Bowes. Pitney Bowes resold the system under the product name of Ascend through the Pitney Bowes subsidiary known as Transcape. Pitney Bowes also deployed "Aristo-based" systems. The Aristo product was built with Microsoft FoxPro

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technology which was not scalable for use by large numbers of users over the Internet.

11. By early 1998, Pitney Bowes announced on its Website an "on-line express Personal Shipping System" that "will find the least expensive and fastest way to send your express package through major carriers" and "instantly track your express package and send e-mails about its progress." Pitney Bowes also included on its Website the line: "Look forward to a new system that will let you send your express package (through your choice of carriers) all right here on-line on the Personal Mailing Solutions site".

12. By early 1998, a Massachusetts based company known as Tracer Research had developed a non-Internet based product known as "Clippership." The Clippership product was a non-Internet based multi-carrier shipping solution for high volume shippers. During this time frame, Tracer Research had certified as compatible with UPS OnLine™ Professional an integration software product, known as TracerX. TracerX provided integration between third-party or carrier-supplied shipping software and other corporate systems.

13. By early 1998, TanData, a Tulsa-based company, had been a supplier of third-party shipping software for installation on shipping dock PCs. By that time, TanData was marketing a shipping and business handling function "cartridge" for Oracle Internet Commerce Server. TanData was also involved in the Microsoft Value Chain Initiative.

14. During the early 1998 time frame, companies such as FedEx and UPS provided high-volume shippers with free carrier-specific software and hardware. Beginning sometime in or about April, 1997, UPS provided high-volume shippers with a fully integrated, standalone, single-user, PC-based hardware and software package that ran UPS OnLine™ Professional. FedEx provided high-volume shippers with a standalone, single-user, PC-based DOS-based shipper software package called FedEx PowerShip®. FedEx PowerShip® was offered in a variety of packages, including FedEx PowerShip® Passport (the most powerful of the FedEx PowerShip® suite), PowerShip2, PowerShip3, and PowerShip Plus.

15. During the early 1998 time frame, companies such as FedEx and UPS provided low-volume shippers with standalone, single-user, PC-based carrier-specific software that could be downloaded from the Internet or installed from a CD-ROM. UPS provided UPS OnLine™ Office and FedEx provided FedEx Ship™. On or about March 3, 1997, Airborne Express announced Lightship Shipper™, PC-based carrier-specific online business shipping software.

16. By the early 1998 time frame, a number of carriers and retailers supported drop-off spots where shippers could bring packages for carrier pickup. U-Ship, an OTC-traded public company based in Minneapolis, MN operated "automated shipping centers" in Kinko's and OfficeMax stores. Each U-Ship shipping center provided a non-Internet-based shipping station that had a touch screen menu offering four shipping services provided exclusively by UPS, a scale, a keyboard and a laser printer. Each U-Ship shipping center shipping station was connected to the U-Ship computer network at U-

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Ship headquarters. U-Ship shipping center shipping stations provided shippers with an electronic way of recording shipping information; UPS picked up packages left at each U-Ship shipping center shipping station.

17. By the early 1998 time frame, PackageNet, a privately-held company based in Fairfield, Iowa, provided UPS package drop-off services at retail locations around the U.S., such as in grocery stores. PackageNet provided a Website. Using the PackageNet Website, Shippers could locate convenient drop-off locations, and could price UPS shipment of a package prior to dropping the package at the drop-off site. The rates charged for UPS shipment through a PackageNet drop-off location were higher than standard UPS rates.

18. In June, 1998, MoveIt!/iShip.com was invited by one of its funding sources, Draper Fisher Jurvetson, to demonstrate some shipping technology features at a gathering of high technology start-up funding sources and start-up entrepreneurs. The name of the gathering is referred to here as the "DFJ demo." At the DFJ demo, MoveIt!/iShip.com demonstrated a pared-down version of an early shipping technology prototype that was, concurrent with the DFJ demo, undergoing extensive beta testing under a beta test agreement between MoveIt!/iShip.com and a company known as College Enterprises, Inc. ("CEI") at the University of California at Santa Barbara campus (the "Santa Barbara Beta Test"). The Santa Barbara Beta Test prototype is described in paragraphs 15 through 22 of a declaration concurrently filed herewith, a true and correct copy of which, without its respective exhibits, is attached hereto as Exhibit B.

19. The DFJ prototype demo included a hardware configuration of one laptop computer, two personal computers ("PC"), and a "hub." The laptop was configured with browser software to simulate a pre-processing client (a "pre-processing client PC"). One of the PC's was configured with shipping station software to simulate a shipping station (a "shipping station PC"). The second PC was configured with DFJ prototype system software and DFJ prototype databases to simulate a server computer (a "server PC"). An account authorization was set up on a database saved on the hard drive of the server PC such that the shipping station PC could be recognized by the server PC as an authorized shipping station. With the DFJ prototype demo, there was no connection to the Internet – none of the laptop or PCs were connected to the Internet, nor was the hub. Rather, there were hard-wired connections between the laptop and the hub, between the hub and the shipping station PC and between the hub and the server PC. These hard-wired connections simulated communications over the Internet. The hub was configured to direct communications between the computers according to the IP (Internet Protocol) address designated in the communication. The laptop pre-processing client PC was configured with a first IP (Internet Protocol) address; the shipping station PC was configured with a second IP address; and the server PC was configured with a third IP address. The laptop pre-processing client PC directed queries and data input to the IP address of the server PC and imbedded in the queries and data input as the return address for responses, the IP address of the laptop pre-processing client PC. The shipping station PC directed

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queries and data input to the IP address of the server PC and imbedded in the queries and data input as the return address for responses, the IP address of the shipping station PC. The server processed queries and data input, and indicated a return address in the responses as the IP address imbedded in the queries and data input as the return address. Accordingly, the hub directed queries and data input from the laptop pre-processing client PC to the server PC, and directed responses by the server PC to laptop pre-processing client PC queries and data input back to the laptop pre-processing client PC. The hub directed queries and data input from the shipping station PC to the server PC and directed responses by the server PC to shipping station queries and data input back to the shipping station PC. A shipping station scale and a shipping station thermal printer were hard-wired to, and configured with, the shipping station PC. That is, software had been installed on the shipping station PC to facilitate communications with the shipping station scale and the shipping station thermal printer, both of which were connected to the shipping station PC. Only one test shipper could use the DFJ demo pre-processing client PC at a time. Only one test shipping station operator could use the DFJ demo shipping station PC at a time. The graphic user interface displays provided by the DFJ prototype demo were those used with the Santa Barbara Beta Test. Because there was no Internet connection, no tracking between the server and the respective carrier system could be performed. The DFJ prototype demo provided only intra-system tracking with which to view the status of a package within the DFJ prototype demo system, such as between the pre-processing stage and shipping stage, or as a shipping label having been printed.

20. By September 1998, Internet websites, www.smartship.com and www.intershipper.com, had begun to provide multi-carrier shipping rate comparisons for some major carriers.

21. To the extent to which I did not recall certain details of portions of the subject matter of this declaration and to the extent to which I did not have complete personal knowledge of portions of the subject matter for this declaration, I refreshed my recollection of the details and obtained information concerning portions of the information described in this declaration by speaking personally with Steve Teglovic, the CEO of MoveIt!/iShip.com from 1997 through the relevant time periods, including 1999, William Smith, the Chief Technology Officer of MoveIt!/iShip.com from 1997 through the relevant time periods, including 1999, and David Bennett, Program Manager for MoveIt!/iShip.com from 1997 through the relevant time periods, including 1999, and by personally reviewing various non-disclosure agreements, business plans, business proposals and other documents, including those attached hereto as Exhibits.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that

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such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

John Dietz

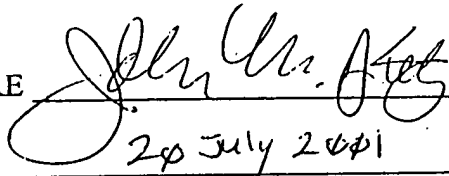
TITLE OF PERSON IF OTHER THAN OWNER:

Employee of iShip Inc.

ADDRESS OF PERSON SIGNING:

8703 NE 144th Court
Bothell, WA 98011

SIGNATURE



DATE:

20 July 2001

MRK/crb